

2188

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/753,220	
		Filing Date	December 29, 2000	
		First Named Inventor	Joshua L. Coates	
		Art Unit	2188	
		Examiner Name	Kevin L. Ellis	
Total Number of Pages in This Submissi	on	Attorney Docket Number	42390P19172X4	
ENCLOS	SURES (chec	k all that apply)		
Fee Transmittal Form	Drawing(s)		After Allowance Communication to TC	
Fee Attached	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences	
Amendment / Response	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
After Final Affidavits/declaration(s)	Petition to 0 Provisional	Convert a Application	Proprietary Information	
Extension of Time Request  Power of A Change of		ttorney, Revocation Correspondence Address	Status Letter	
Express Abandonment Request	Terminal D	isclaimer	Other Enclosure(s) (please identify below):	
Information Disclosure Statement	Request for	r Refund	Return postcard	
PTO/SB/08	CD, Number of CD(s)			
Certified Copy of Priority Document(s)	Lands	cape Table on CD		
Response to Missing Parts/ Incomplete Application  Basic Filing Fee  Declaration/POA  Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm Mark C. Van N	Mark C. Van Ness, Reg. No. 39,865			
Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP			IAN LLP	
Signature				
Date January 4, 200	)6	·		

**CERTIFICATE OF MAILING/TRANSMISSION** 

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

January 4, 2006

Gigi Hoover

Typed or printed name

Signature

JAN 0 9 2006

# FEE TRANSMITTAL for FY 2005

Applicant claims	small entity statu	us. See 37 CFR 1	.27

**TOTAL AMOUNT OF PAYMENT** (\$)

Complete if Known		
Application Number	09/753,220	
Filing Date	December 29, 2000	
First Named Inventor	Joshua L. Coates	
Examiner Name	Kevin L. Ellis	
Art Unit	2188	
Attorney Docket No.	42390P19172X4	

METHO	METHOD OF PAYMENT (check all that apply)						
☐ Check ☐ Credit card ☐ Money Order ☒ None ☐ Other (please identify):							
Deposit A	Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP						
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  Charge fee(s) indicated below  Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments  under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.							
FEE CALCU	JLATION						
Large Er	ntity	Small	Entity				
Fee	Fee	Fee	Fee	For December 5 - D. H.			
Code	(\$)	Code	(\$)	Fee Description Fee Paid			
1051	130	2051	65	Surcharge - late filing fee or oath			
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.			
2053	130	2053	130	Non-English specification			
1251	. 120	2251		Extension for reply within first month			
1252	450	2252	225	Extension for reply within second month			
1253	1,020	2253	510	Extension for reply within third month			
1254	1,590	2254		Extension for reply within fourth month			
1255	2,160	2255		Extension for reply within fifth month			
1401	500	2401	250	Notice of Appeal			
1402	500	2402		Filing a brief in support of an appeal			
1403	1,000	2403		Request for oral hearing			
1451	1,510	2451		Petition to institute a public use proceeding			
1460	130	2460		Petitions to the Commissioner			
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
1806	180	1806	180	Submission of Information Disclosure Stmt			
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))			
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))			
Other f	fee (spe	cify) _					
				SUBTOTAL (2) (\$)			

SUBMITTED BY	Y			Comp	olete (if applicable)
Name (Print/Type)	Mark C. Van Ness	Registration No. (Attomey/Agent)	39,865	Telephone	(503) 439-8778
Signature	1111/19/11			Date	01/04/06

EE TRANSMITTAL Complete if Known Application Number 09/753,220 Filing Date December 29, 2000 First Named Inventor Patent fees are subject to annual revision Joshua L. Coates **Examiner Name** Kevin L. Ellis Applicant claims small entity status. See 37 CFR 1.27. Art Unit 2188 **TOTAL AMOUNT OF PAYMENT** Attorney Docket No. 42390P19172X4 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit card ☐ Money Order ☒ None Other (please identify): Deposit Account Deposit Account Number: 02-2666 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) ☐ Charge fee(s) indicated below

#### Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayment of fee(s) Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION** Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) 1051 2051 130 65 Surcharge - late filing fee or oath 2052 1052 50 <sup>25</sup> Surcharge - late provisional filing fee or cover sheet. 2053 130 2053 130 Non-English specification 1251 120 2251 60 Extension for reply within first month-1252 450 2252 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 1255 2.160 2255 1,080 Extension for reply within fifth month 1401 500 2401 <sup>250</sup> Notice of Appeal 1402 500 2402 <sup>250</sup> Filing a brief in support of an appeal 1403 1.000 2403 500 Request for oral hearing 1451 1.510 2451 1,510 Petition to institute a public use proceeding 1460 130 2460 130 Petitions to the Commissioner 1807 50 1807 <sup>50</sup> Processing fee under 37 CFR 1.17(q) 1806 180 1806 180 Submission of Information Disclosure Stmt 1809 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 790 2810 395 For each additional invention to be examined (37 CFR § 1.129(b)) Other fee (specify) (\$)

SUBMITTED B	Y			Comp	olete (if applicable)
Name (Print/Type)	Mark C. Van Ness	Registration No. (Attorney/Agent)	39,865	Telephone	(503) 439-8778
Signature	11/1/11	4/1/19		Date	01/04/06

SUBTOTAL (2)



Docket No.: 42390P19172X4

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JOSHUA L. COATES, ET AL.

Application No.: 09/753,220

Filed: December 29, 2000

For: DYNAMIC REPLICATION OF FILES IN

A NETWORK STORAGE SYSTEM

Art Group: 2188

Examiner: Kevin L. Ellis

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: January 04, 2006

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025

Telephone: (503) 439-8778

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Gigi Hoover

01-04-06

Date

OIPE 4000 W

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1

Sheet 1 of

Co	emplete if Known
Application Number	09/753,220
Filing Date	December 29, 2000
First Named Inventor	Joshua L. Coates
Art Unit	2188
Examiner Name	Kevin L. Ellis
Attorney Docket Number	42390P19172X4

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.'	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-6,405,201	06-11-2002	Nazari	
		US-			
·		US-			
		US			
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	т•
Initials*	No.'	Country Code <sup>3</sup> - Number - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	١'
	İ					

Examiner	Date
Signature	Considered

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.